



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
WWW.USPTO.GOV

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/932,280	08/17/2001	Chen-Yin Lee	DYNA117748

CONFIRMATION NO. 3103

28389

CHRISTENSEN, O'CONNOR, JOHNSON, KINDNESS, PLLC  
1420 FIFTH AVENUE  
SUITE 2800  
SEATTLE, WA 98101-2347

FORMALITIES LETTER



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Date Mailed: 01/07/2002

01/29/02

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

## Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 12/31/2001 to the Notice to File Missing Parts (Notice) mailed 09/20/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The application is informal since it does not comply with the regulations for the reason(s) indicated below

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
  - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));

A copy of this notice MUST be returned with the reply.

Customer Service Center  
Initial Patent Examination Division (703) 308-1202



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: C.-Y. Lee

Attorney Docket No.: DYNA117748

Application No.: 09/932,280

Group Art Unit: 2511

Filed: August 17, 2001

Title: PERSONAL WEB GUIDE SYSTEM AND METHOD FOR  
AUTOMATICALLY DISPLAYING WEB INFORMATIONRESPONSE TO NOTICE OF INCOMPLETE REPLY

Seattle, Washington 98101

January 24, 2002

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01/29/02

TO THE COMMISSIONER FOR PATENTS:

A Notice of Incomplete Reply (Nonprovisional) was mailed January 7, 2002, in the above-referenced patent application. The Notice requires that substitute drawings be submitted to correct incorrect margins and to comply with the requirement that they be electronically reproducible.

On November 9, 2001, substitute drawings were filed concurrently with a preliminary amendment. A postcard has been received in our offices confirming that the Patent Office received the substitute drawings and preliminary amendment on January 4, 2002. A copy of that date-stamped postcard is attached, with the required copy of the Notice of Incomplete Reply.

A copy of the substitute drawings, transmittal letter, and the preliminary amendment (and its transmittal letter) submitted in the same envelope are also enclosed, for the convenience of the Patent Office.

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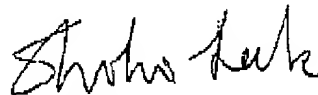
LAW OFFICES OF  
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206.682.8100

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Please feel free to contact the undersigned attorney if there are any questions.

Respectfully submitted,

CHRISTENSEN O'CONNOR  
JOHNSON KINDNESS<sup>PLLC</sup>

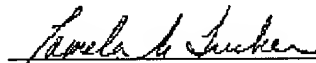


Shoko I. Leek  
Registration No. 43,746  
Direct Dial No. 206.693.1780

I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed envelope as first class mail with postage thereon fully prepaid and addressed to the Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202, on the below date.

Date:

January 24, 2002



SIL:pt

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: C.-Y. Lee Attorney Docket No. DYNA117748  
Application No.: 09/932,280 Group Art Unit: 2611  
Filed: August 17, 2001  
Title: PERSONAL WEB GUIDE SYSTEM AND METHOD FOR  
AUTOMATICALLY DISPLAYING WEB INFORMATION

DRAWING TRANSMITTAL LETTER

TO THE COMMISSIONER FOR PATENTS:

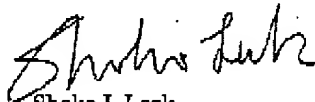
ATTENTION: OFFICIAL DRAFTSPERSON/DRAWING REVIEW BRANCH

The formal drawings for the above-identified application are transmitted herewith. The Official Draftsperson is asked to review the drawings and approve the drawings for entry into the file.

Figure 12 has been revised to Figure 12A and Figure 12B for the purpose of aiding legibility. Therefore, there are now 17 pages of drawings rather than 16.

If there are any questions concerning the drawings, the Official Draftsperson is asked to call applicant's attorney at the number listed below.

Respectfully submitted,

CHRISTENSEN O'CONNOR  
JOHNSON KINDNESS<sup>PLLC</sup>Shoko I. Leek  
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Direct Dial No. 206.695.1780

I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed envelope as first class mail with postage thereon fully prepaid and addressed to the Commissioner for Patents, Washington, D.C. 20231, on the below date.

Date: November 9, 2001



SIL:pt

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DYNA11774814A.DOC

Received from &lt; &gt; at 4/5/02 1:12:13 PM [Eastern Standard Time]

BOX NON-FEE  
AMENDMENTIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: C.-Y. Lee Attorney Docket No.: DYNA117748  
Application No.: 09/932,280 Group Art Unit: 2611  
Filed: August 17, 2001  
Title: PERSONAL WEB GUIDE SYSTEM AND METHOD FOR  
AUTOMATICALLY DISPLAYING WEB INFORMATION

AMENDMENT TRANSMITTAL LETTER

Seattle, Washington 98101

November 9, 2001

TO THE COMMISSIONER FOR PATENTS:

A. Amendment Transmittal

Transmitted herewith is a preliminary amendment in the above-identified application.

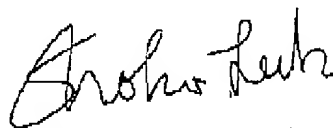
B. Other Enclosures

A Drawing Transmittal Letter and 17 sheets of drawings are also enclosed.

C. Fees Enclosed

There are no fees enclosed at this time. Any necessary fees will be remitted when a response is filed to the outstanding Notice to File Missing Parts.

Respectfully submitted,

CHRISTENSEN O'CONNOR  
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Date:

November 9, 2001David H. Baker

SIL:pt

20011109 0322E660

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